August 9, 2022

BSE Limited	National Stock Exchange of India Limited
Department of Corporate Services	Listing Department
Floor 25, P. J. Towers,	Registered Office: "Exchange Plaza",
Dalal Street,	C-1, Block G, Bandra Kurla Complex,
Mumbai-400 001.	Bandra (E), Mumbai – 400 051.
Scrip Code: 532051	Scrip Code: SWELECTES

Dear Sir / Madam,

Sub: Compliance Certificate under Regulations 3(5) and 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

We enclose herewith the Compliance Certificate pertaining to Structured Digital Database (SDD) maintained by the Company pursuant to Regulations 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), for the quarter ended 30.06.2022.

We request you to kindly take on record the above compliance.

Thanking you,

Yours faithfully,
For SWELECT ENERGY SYSTEMS LIMITED

R. SATHISHKUMAR
Company Secretary

Encl.: as above

Regd Office: 'SWELECT HOUSE', No.5, Sir P.S. Sivasamy Salai, Mylapore, Chennai - 600004, India.
TEL: +91 44 2499 3266, FAX: +91 44 2499 5179, E mail: info@swelectes.com
CIN : L93090TN1994PLC028578, www.swelectes.com

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 2022
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, R. Sathishkumar, Compliance Officer, have examined the following compliance requirement of SWELECT Energy Systems Limited and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

Sr. No	Compliance Requirement	Yes/No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	Yes	-
2.	Whether control exists as to who can access the SDD for read/ write alongwith the names and PAN of such person?	Yes	-
3.	Whether all the UPSI had been captured in the Database. If not details of events that had not been captured and the reason for the same?	Yes	-
4.	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	Yes	-
5.	Whether nature of UPSI have been captured alongwith date and time?	Yes	-
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	Yes	-
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	Yes	-
8.	Whether the database has been maintained internally?	Yes	-
9.	Whether audit trail is maintained?	Yes	-
10.	Whether time stamping is maintained?	Yes	-
11.	Whether the database is non-tamperable?	Yes	-
12.	Any other measures to ensure non-tamperability of the Database?	Yes	Database is hosted in Demilitarised Zone (DMZ) without exposing to external network and this is implemented with WORM systems method (Write Once and Read Many). Encryption is adopted wherever sensitive data storage is enforced.

The number of days for which non-compliance was observed: Nil

Further I also confirm that the Company was required to capture 2 number of events during the quarter ended 30.06.2022 and has captured 2 number of the said required events.

For SWELECT Energy Systems Limited

R.Sathishkumar
Compliance Officer
Date: 9.8.2022
Place: Chennai